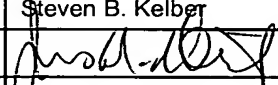


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|---|---|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. 3805-025-27 CIP | |
| | First Inventor or Application Identifier Mark Edward KANE | |
| | Title | METHOD AND SYSTEM FOR COMPENSATING FOR WHEEL WEAR ON A TRAIN |

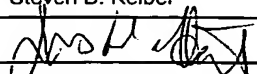
| | |
|--|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input checked="" type="checkbox"/> Applicant claims small entity status. 2. <input checked="" type="checkbox"/> Specification Total Pages 29 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5 4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 16 completed)</small> 5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small> | ACCOMPANYING DOCUMENTS 6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 13. <input type="checkbox"/> Request for Priority 14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other: |
| 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 10/157,874 and 10/609,377 Prior application information: Examiner: Olga Hernandez Group Art Unit: 3661 | |
| 17. Amend the specification by inserting before the first line the sentence: <input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input checked="" type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 10/157,874 Filed on May 31, 2002, now allowed and is a Continuation-In-Part of 10/609,377 filed July 1, 2003. <input type="checkbox"/> This application claims priority of provisional application Serial No. Filed | |
| 18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085 | |

| | | | |
|-----------|---|------------------|-------------------|
| Name | Steven B. Kelber | Registration No. | 30,073 |
| Signature |  | Date | November 26, 2003 |
| Name | James M. Heintz | Registration No. | 41,828 |
| | | Telephone | 202-861-3900 |

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 10/721227
 112603

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|-------------------------|--|--|--|--|----------------|--|--------------------------|--|--|--|
| FEE TRANSMITTAL | | | | | Docket No. | | 3805-025-27 CIP | | | |
| | | | | | Serial No. | | New Application | | | |
| | | | | | Filing Date | | Herewith | | | |
| | | | | | Inventor(s) | | Mark Edward KANE, et al. | | | |
| | | | | | Group Art Unit | | | | | |
| TOTAL AMOUNT OF PAYMENT | | | | | \$898.00 | | Examiner | | | |

| | | | | | | | | | | | | | | | |
|--|----------|--------------|----------|--|----|-------------------------|------|------|------|------------------------------------|--------------------|--------------|-----------------|--|----------|
| 1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442. | | | | | | | | | | FEE CALCULATION (continued) | | | | | |
| | | | | | | | | | | 3. ADDITIONAL FEES | | | | | |
| | | | | | | | | | | Large Entity | | Small Entity | | Fee Description | |
| 2. <input checked="" type="checkbox"/> Check enclosed. | | | | | | | | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | Fee Paid |
| FEE CALCULATION | | | | | | | | | | 1051 | 130 | 2051 | 65 | Surcharge-late filing fee or oath | 65.00 |
| 1. BASIC FILING FEE | | | | | | | | | | 1052 | 50 | 2052 | 25 | Surcharge-late provisional filing fee or cover sheet | |
| Large Entity | | Small Entity | | Fee Description | | 1053 | 130 | 1053 | 130 | Non-English Specification | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | Fee Paid | 1251 | 110 | 2251 | 55 | 1-mo. ext. of time | | | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | | 385.00 | 1252 | 420 | 2252 | 210 | 2-mo. ext. of time | | | | |
| 1002 | 340 | 2002 | 170 | Design filing fee | | | 1253 | 950 | 2253 | 475 | 3-mo. ext. of time | | | | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | | | 1254 | 1480 | 2254 | 740 | 4-mo. ext. of time | | | | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | | | 1255 | 2010 | 2255 | 1005 | 5-mo. ext. of time | | | | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | | | 1401 | 330 | 2401 | 165 | Notice of Appeal | | | | |
| SUBTOTAL (1) | | | | | | \$385.00 | 1402 | 330 | 2402 | 165 | Appeal Brief | | | | |
| 2. EXTRA CLAIM FEES | | | | | | | | | | 1403 | 290 | 2403 | 145 | Request for Oral Hearing | |
| tot. claims | 65 | - | 20* | = | 45 | x | \$9 | = | 405 | 1501 | 1330 | 2501 | 665 | Utility/Reissue Issue Fee | |
| ind. claims | 4 | - | 3* | = | 1 | x | \$43 | = | 43 | 1502 | 480 | 2502 | 240 | Design Issue Fee | |
| <input type="checkbox"/> Multiple Dependent Claims | | | | | | \$145 | = | | 1504 | 300 | 1504 | 300 | Publication Fee | | |
| Large Entity | | Small Entity | | Fee Description | | 8001 | 3 | 8001 | 3 | Advance Copy of Patent | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | | 1806 | 180 | 1806 | 180 | IDS Submission | | | | | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | | 8021 | 40 | 8021 | 40 | Assignment recordation | | | | | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | | 1801 | 770 | 2801 | 385 | For Filing RCE | | | | | |
| 1204 | 84 | 2204 | 43 | *Reissue independent claims over original patent | | 1814 | 110 | 2814 | 55 | Terminal Disclaimer | | | | | |
| 1205 | 18 | 2205 | 9 | *Reissue claims in excess of 20 and over original patent | | OTHER (indicate below): | | | | | | | | | |
| SUBTOTAL (2) | | | | | | | | | | | \$448.00 | | | | |
| * or number previously paid, if greater; For Reissues, see above | | | | | | | | | | SUBTOTAL (3) | | \$65.00 | | | |

| | | | | | | | |
|-----------|--|---|--|------------------|-------------------|-----------|--------------|
| Name | | Steven B. Kelber | | Registration No. | | 30,073 | |
| Signature | |  | | Date | November 26, 2003 | Telephone | 202-861-3900 |
| Name | | James M. Heintz | | Registration No. | | 41,828 | |

DOCKET NO. 3805-025-27 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mark Edward KANE, et al. ART UNIT:
SERIAL NO.: New Application EXAMINER:
FILING DATE: Herewith
FOR: METHOD AND SYSTEM FOR COMPENSATING FOR WHEEL WEAR
ON A TRAIN

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

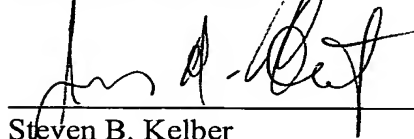
Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Mark Edward KANE
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Orange Park, FL 32073
- 2) James Francis SHOCKLEY
3011 Doctors Lake Drive
Orange Park, FL 32073
- 3) Harrison Thomas HICKENLOOPER
179 Buffalo Bluff Road
Palatka, FL 32177

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



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Attorney of Record

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James M. Heintz
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